(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization

International Bureau





(43) International Publication Date 29 July 2004 (29.07.2004)

PCT

(10) International Publication Number WO 2004/064294 A2

(51) International Patent Classification⁷:

H04L

(21) International Application Number:

PCT/US2004/000324

(22) International Filing Date: 7 January 2004 (07.01.2004)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

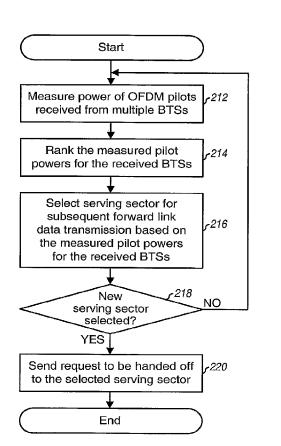
60/438,666 7 January 2003 (07.01.2003) US 10/, 22 December 2003 (22.12.2003) US

- (71) Applicant: QUALCOMM, INCORPORATED [US/US]; 5775 Morehouse Drive, San Diego, CA 92121 (US).
- (72) Inventors: SMEE, John E.; 5220 Fiore Terrace, Apt. M212, San Diego, CA 92122 (US). WALTON, Jay R.;

- 7 Ledgewood Drive, Westford, MA 01886 (US). MAL-LADI, Durga P.; 11983 Briarleaf Way, San Diego, CA 92128 (US). WILLENEGGER, Serge; Derriere-Ville B, CH-1425 Onnens (CH).
- (74) Agents: WADSWORTH, Philip R. et al.; 5775 Morehouse Drive, San Diego, CA 92121 (US).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AF, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH,

[Continued on next page]

(54) Title: FORWARD LINK HANDOFF FOR WIRELESS COMMUNICATION SYSTEMS WITH OFDM FORWARD LINK AND CDMA REVERSE LINK



(57) Abstract: A method and apparatus for performing handoff in a wireless communication system with multi-carrier modulation (MCM) for a forward link and CDMA for a reverse link.
In one embodiment, a method of performing handoff on the forward link for a terminal is provided in which signal quality of
pilots received by the terminal from a plurality of base stations
in the system is determined. A particular base station for subsequent data transmission on the forward link to the terminal is
selected based on the signal quality determined for the plurality of base stations. A request to be handed off to the particular
base station is initiated if the particular base station is different
than a currently selected base station.

WO 2004/064294 A2

GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

 without international search report and to be republished upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

1

FORWARD LINK HANDOFF FOR WIRELESS COMMUNICATION SYSTEMS WITH OFDM FORWARD LINK AND CDMA REVERSE LINK

Claim of Priority under 35 U.S.C. §119

[0001] The present Application for Patent claims priority to Provisional Application No. 60/438,666 entitled, "Forward Link Handoff for Wireless Communication Systems Using OFDM Forward Link and CDMA Reverse Link," filed January 7, 2003.

BACKGROUND

I. Field

[0002] The present invention relates generally to data communication, and more specifically to techniques for performing handoff on the forward link in wireless communication systems that use multi-carrier modulation (e.g., OFDM) for the forward link and CDMA for the reverse link.

II. Background

Of communication such as voice, packet data, and so on. These systems may be multiple-access systems capable of supporting communication with multiple users by sharing the available system resources. Such systems may be based on code division multiple access (CDMA), time division multiple access (TDMA), frequency division multiple access (FDMA), some other multiple access technique, or a combination thereof. CDMA systems may also be designed to implement known CDMA standards such as cdma2000, IS-856, IS-95, W-CDMA, and others.

In a direct sequence (DS) CDMA system, a narrowband signal is spread over the entire system bandwidth in the time domain with a spreading sequence. Some examples of such DS-CDMA systems include those that implement IS-2000, IS-95, and W-CDMA standards. The spreading sequence may be a pseudo-random number (PN) sequence (e.g., for IS-95 and IS-2000) or a scrambling sequence (e.g., for W-CDMA).

2

A DS-CDMA system provides certain advantages such as ease of supporting multiple access, narrow-band rejection, and so on.

Orthogonal frequency division multiplexing (OFDM) effectively partitions the system bandwidth into a number of (N) orthogonal subbands, which are also often referred to as tones, frequency bins, and frequency subchannels. In each time interval that is dependent on the bandwidth of each subband, a modulation symbol may be transmitted on each of the N subbands. OFDM may be used to combat inter-symbol interference (ISI), which is a phenomenon whereby each symbol in a received signal acts as distortion to subsequent symbols in the received signal. ISI is caused by frequency selective fading in a multipath channel. To combat ISI, a portion of each OFDM symbol is repeated prior to transmission, as is known in the art.

[0006] For various reasons, it may be advantageous to use one modulation technique for one communication link (e.g., OFDM for the forward link) and another modulation technique for the complementary communication link (e.g., CDMA for the reverse link). However, the use of different modulation techniques may complicate certain system operation, such as handoff of terminals between base stations in the systems.

[0007] There is therefore a need in the art for techniques to perform handoff on the forward link in hybrid wireless communication systems.

BRIEF DESCRIPTION OF THE DRAWINGS

[0008] FIG. 1 is a wireless communication system;

[0009] FIG. 2 is a flow diagram of an embodiment of a process for performing terminal-initiated forward link handoff;

[0010] FIG. 3 is a flow diagram of an embodiment of a process for performing BTS-initiated forward link handoff; and

[0011] FIG. 4 is a block diagram of an embodiment of a base station and a terminal.

DETAILED DESCRIPTION

[0012] The word "exemplary" is used herein to mean "serving as an example, instance, or illustration." Any embodiment or design described herein as "exemplary" is not necessarily to be construed as preferred or advantageous over other embodiments or designs.

3

FIG. 1 shows a wireless communication system 100 that may implement the forward link handoff techniques described herein. System 100 includes a number of base stations, each of which provides coverage for a respective geographic area. For simplicity, only three base stations 110a, 110b, and 110c are shown in FIG. 1. A base station may also be referred to as an access point, a Node B, or some other terminology. A base station and/or its coverage area are also often referred to as a cell, depending on the context in which the term is used. To increase capacity, the coverage area of each base station may be partitioned into multiple sectors. Each sector is then served by a corresponding base transceiver subsystem (BTS). For a sectorized cell, the base station for that cell may include all of the BTSs serving the sectors of that cell. For simplicity, the following description assumes that each cell is partitioned into three sectors that are served by three BTSs located within the base station, which in turn is located in the center of the cell.

Various terminals are typically dispersed throughout the system. For simplicity, only one terminal 120 is shown in FIG. 1. A terminal may also be referred to as a remote station, a mobile station, an access terminal, a user equipment (UE), a wireless communication device, or some other terminology. Each terminal may communicate with one or more BTSs on the forward link and one or more BTSs on the reverse link at any given moment. This depends on whether or not the terminal is active, whether or not soft handoff is supported for data transmission, and whether or not the terminal is in soft handoff. The forward link (i.e., downlink) refers to the communication link from the BTS to the terminal, and the reverse link (i.e., uplink) refers to the communication link from the terminal to the BTS.

A system controller 102 couples to base stations 110 and may further couple to other systems such as, for example, a public switched telephone network (PSTN), a packet data network (PDN), and so on. System controller 102 may also be referred to as a base station controller (BSC), a radio network controller (RNC), or some other terminology. System controller 102 provides coordination and control for the base stations coupled to it. System controller 102 further controls, via the base stations, the routing of calls (1) among the terminals in system 100 and (2) between the terminals in system 100 and other users coupled to other systems (e.g., the PSTN).

4

System 100 may be designed to utilize multi-carrier modulation (MCM) for the forward link (FL) and CDMA for the reverse link (RL). The multi-carrier modulation may be orthogonal frequency division multiplexing (OFDM) or some other modulation technique or construct. OFDM may provide certain advantages such as, for example, high data transmission capacity and ability to combat inter-symbol interference (ISI). For clarity, the following description assumes that system 100 utilizes OFDM on the forward link and CDMA on the reverse link.

[0017] To simplify the system design and to improve the overall system throughput, system 100 may be designed to support soft handoff (SHO) on the reverse link but not the forward link. Soft handoff on the forward link is achieved by redundantly transmitting data from multiple BTSs to a terminal to increase reliability for the data transmission. However, the redundant forward link transmission also reduces system capacity.

Soft handoff on the reverse link is achieved by having multiple BTSs in the same or different cells receive and process the reverse link signal from the terminal. If the multiple BTSs are for sectors in the same cell, then the reverse link signals received by these BTSs from the terminal may be combined prior to decoding (a process often referred to as "softer handoff"). If the multiple BTSs are for sectors in different cells, then each BTS may independently process and decode the received signal from the terminal, and the system may then select the decoded data from one of these BTSs. In any case, soft handoff on the reverse link can improve reliability for the reverse link transmission and may further increase the overall system capacity at the expense of more signal processing by multiple BTSs. Some examples of CDMA systems that do not employ soft handoff on the forward link for data users include (1) IS-856 systems, which are also referred to as 1xEV-DO systems, (2) IS-2000 systems, which are also referred to as 1xEV-DV systems, and (3) W-CDMA systems.

[0019] Each BTS transmits data on the forward link to the terminals within its sector. Each BTS further transmits pilot on the forward link, which may be received and identified by terminals located within and outside the sector. If soft handoff is not employed for the forward link, then each active terminal receives user-specific data transmission from only one BTS at any given moment, which is often referred to as the

5

serving sector. A hard handoff (or simply, a handoff) occurs on the forward link whenever the serving sector for a terminal changes.

1. Forward Link Pilots

[0020] Each BTS transmits a pilot on the OFDM forward link (or simply, an "OFDM pilot") that may be used by the terminals for various functions such as channel estimation, timing and frequency acquisition, coherent data demodulation, received signal strength measurements, and so on. The OFDM pilots may be transmitted by the BTSs in a manner to improve their detection by the terminals in the system.

[0021] Each BTS may be configured to transmit its pilot on a specific set of subbands. Neighboring BTSs may be assigned different disjoint sets of subbands so that their pilots are orthogonal to one another in the frequency domain. The pilot for each BTS may further be covered with a specific orthogonal code assigned to the BTS. Nearby BTSs (e.g., those assigned with the same set of subbands) may be assigned different orthogonal codes so that their pilots are orthogonal to one another in the time domain. The orthogonalization of the pilots in the frequency domain and/or the time domain improve the detection and acquisition of these pilots by the terminals in the system.

[0022] The OFDM pilot for each BTS may further be scrambled with a specific scrambling code assigned to the BTS. The scrambling code randomizes the pilot interference and further allows the terminals in the system to uniquely identify the BTSs based on the received pilots.

[0023] Various pilot transmission schemes that may be used for the OFDM forward link are described in U.S. Patent Application Serial No. 10/359,811, entitled "Pilot Transmission Schemes For Wireless Multi-Carrier Communication Systems", filed February 7, 2003, assigned to the assignee of the present invention, and incorporated herein by reference.

2. Terminal Initiated Forward Link Handoff

[0024] A terminal in the system may continually or periodically monitor the forward link transmissions from the BTSs in the system to determine which BTS to select as the serving sector. In an embodiment, the selection for the serving sector is made based on measurements of the power of the pilots received from the BTSs. In general, the

6

serving sector selection may be made based on any measure of signal quality for the BTSs such as, for example, signal-to-noise ratios (SNRs).

[0025] FIG. 2 shows a flow diagram of an embodiment of a process 200 for performing terminal-initiated forward link handoff. Process 200 may be performed by a terminal.

Initially, the terminal measures the power of the OFDM pilots received from multiple BTSs (step 212). The terminal has knowledge of pertinent information needed to receive and process the OFDM pilots from the BTSs for different sectors. This information is dependent on the particular pilot transmission scheme implemented by the system. For the pilot transmission schemes described in the aforementioned U.S. Patent Application Serial No. 10/359,811, this information may include, for example, the set of subbands used by each BTS for pilot transmission, the orthogonal code (e.g., Walsh code) used by each BTS to cover its pilot prior to transmission, and the scrambling code used by each BTS to scramble it pilot for BTS identification and randomization. The pilot processing by the terminal may be performed as described in the aforementioned U.S. Patent Application Serial No. 10/359,811. The outputs of the pilot processing are measured pilot powers for the BTSs received by the terminal. The measured pilot powers for the received BTSs are then ranked (e.g., in descending order) (step 214).

[0027] As a specific example, if the system has 512 subbands for the OFDM forward link, approximately ten percent of these subbands (e.g., 50 subbands, with indices of 10, 20, 30, ... 500) may be used as pilot subbands. The terminal can measure the power of the pilot received on each of these pilot subbands and further average the pilot power across these 50 pilot subbands to obtain the measured pilot power for the BTS. In general, any function of the received pilot powers or SNRs for the pilot subbands may be used for the selection of the serving sector.

[0028] A serving sector is then selected for subsequent forward link data transmission to the terminal (step 216). The serving sector selection is made based on (1) the measured pilot powers for the received BTSs and (2) a particular selection algorithm. The algorithm may use hysteresis in the selection process to avoid ping-ponging between two serving sectors (i.e., frequently requesting a switch between two sectors that are received by the terminal with similar pilot powers or SNRs). The hysteresis may be implemented using different switching levels, with a timer, or by some other

7

mechanism. For example, the terminal may request handoff to another serving sector only if the measured pilot power for this sector exceeds the measured pilot power for the current serving sector by a particular amount.

[0029] A determination is then made whether or not the newly selected serving sector is the same as the current serving sector (step 218). If the selected serving sector is the same as the current one, then the process returns to step 212. Otherwise, the terminal sends a request to be handed off to the selected serving sector, which is received stronger than the current serving sector (step 220). In addition to the handoff request, the terminal may also send other pertinent information such as, for example, the maximum data rate that the terminal expects it can successfully demodulate.

[0030] Upon receiving the handoff request from the terminal, the system may grant or deny the request. This decision may be made based on various factors, as described below. If the handoff request is granted, then the grant may be signaled to the terminal and the new serving sector thereafter transmits data on the forward link to the terminal. Otherwise, the terminal may continue to receive forward link data transmission from the current serving sector.

Various factors/criteria may be considered in deciding whether to grant or deny the handoff request from the terminal. The best choice for the serving sector for the terminal may not necessarily be the sector that the terminal is receiving the OFDM pilot with the highest power or SNR. Other factors that may also be considered includes the loading of the sector, the reverse link measurements made by the BTSs for the terminal, the priority of the terminal, the service currently obtained by the terminal, and so on. For example, a sector with a lightly loaded forward link may be a better choice than a sector with a heavily loaded forward link, especially if the difference in the measured pilot powers or SNRs for the two sectors is small. The BTS for the current serving sector can thus grant or deny the handoff request from the terminal based on knowledge of the forward link loading for the BTSs.

3. BTS Initiated Forward Link Handoff

[0032] Forward link handoff may also be initiated by the BTSs based on reverse link transmissions from the terminals. The forward link handoff selection may be made

8

based on measurements of the power or SNR of the reverse link pilots (or some other signals) received from the terminals by the BTSs.

[0033] FIG. 3 shows a flow diagram of an embodiment of a process 300 for performing BTS-initiated forward link handoff. Process 300 may be performed by the system.

Initially, multiple BTSs in the system measures the power of the reverse link pilot received from a terminal (step 312). For example, if the terminal transmit pilot on the CDMA reverse link, then each BTS can determine the average power of the pilot received from the terminal by despreading, decovering, and accumulating the reverse link pilot from the terminal using a CDMA pilot filter. The processing of the CDMA reverse link pilot is known in the art and not described in detail herein. The outputs of the pilot processing are measured pilot powers for the BTSs that receive the pilot from the terminal.

[0035] A serving sector is then selected for subsequent forward link data transmission to the terminal (step 316). The serving sector selection may be made with coordination among the BTSs that receive the reverse link pilot from the terminal. The BTSs can jointly determine which sector should serve the terminal on the OFDM forward link. The serving sector selection is made based on the pilot powers measured by the BTSs and a particular selection algorithm, which may be the same or different from the algorithm used by the terminal for the terminal-initiated forward link handoff. Again, hysteresis may be used to avoid ping-ponging between two serving sectors.

[0036] A determination is then made whether or not the newly selected serving sector for the terminal is the same as the current serving sector for the terminal (step 318). If the selected serving sector is the same as the current one, then the process returns to step 312. Otherwise, signaling may be sent to the terminal to inform it of the handoff to a new serving sector (step 320).

As noted above, the best choice for the serving sector for the terminal may not necessarily be the sector with the largest received reverse link pilot power for the terminal. For example, a sector with a lightly loaded forward link may be a better choice than a sector with a heavily loaded forward link, especially if the difference between the received powers measured by these sectors is small. The BTSs may thus consider loading and other relevant information when deciding to initiate a forward link handoff to change the terminal's serving sector.

9

4. Forward Link Handoff Performance

[0038]

The terminal-initiated forward link handoff may provide better performance than the BTS-initiated forward link handoff. First, the terminal-initiated handoff may be more accurate since the serving sector selection is made based on measurements on the OFDM forward link. In contrast, the BTS-initiated handoff is made based on reverse link measurements and relies on correlation between the average forward link and reverse link channels. Second, the terminal-initiated handoff may be initiated faster than the BTS-initiated handoff. This is because the handoff determination is made by one terminal based on forward link measurements from multiple BTSs. In contrast, the handoff determination for the BTS-initiated handoff is made based on measurements at multiple BTSs from one terminal, and some processing and transmission delays are incurred.

[0039]

The optimality of the serving sector selection in the terminal-initiated handoff is dependent on the accuracy of the power measurements for the received BTSs. The optimality of the serving sector selection in the BTS-initiated handoff is dependent on (1) the accuracy of the power measurements by the BTSs and (2) correlation or coherence between the OFDM forward link and CDMA reverse link. The extent of this forward/reverse link correlation is dependent on the amount of correlation between the reverse link and forward link fading processes. This fading correlation may be quite small if either (1) the forward and reverse links are transmitted on different frequency bands and the separation between the two frequency bands is large or (2) the wireless channel exhibits significant delay spread. However, the average behavior of the forward and reverse links should be similar (based on geographic considerations) even if these links experience uncorrelated fading when the coherence bandwidth (which is given as the inverse of the delay spread) is smaller than the separation between the two frequency bands.

[0040]

Thus, a terminal may request a forward link handoff to change its serving sector based on measurements made by the terminal on OFDM pilots received on the forward link from multiple BTSs. The BTS of the serving sector may either grant or deny the handoff request based on the loading on the forward link for the sectors and other pertinent information available to the BTS. The data rate that may be used for the forward link data transmission to the terminal may be dependent on the quality of the

wireless channel between the chosen serving sector and the terminal. The BTS-initiated forward link handoff may be used in conjunction with, or in place of, the terminal-initiated forward link handoff.

5. System

[0041] FIG. 4 shows a block diagram of an embodiment of a base station 110x and a terminal 120x in system 100. For simplicity, base station 110x performs processing for one sector.

[0042] On the forward link, at base station 110x, a transmit (TX) data processor 414 receives traffic data from a data source 412 and signaling and other data from a controller 430. TX data processor 414 formats, codes, interleaves, and modulates (i.e., symbol maps) the data to provide data modulation symbols, or simply data symbols. A modulator (MOD) 420 receives and multiplexes the data symbols with pilot symbols, performs the required processing, and provides a stream of OFDM symbols. The processing by modulator 420 is described in the aforementioned U.S. Patent Application Serial No. 10/359,811. A transmitter unit (TMTR) 422 then processes the OFDM symbol stream to provide a forward link signal, which is then transmitted from an antenna 424 to the terminals.

[0043] At terminal 120x, the forward link signals transmitted by multiple base stations for multiple sectors are received by an antenna 452, and the received signal is processed (e.g., amplified, filtered, frequency downconverted, and digitized) by a receiver unit (RCVR) 454 to provide samples. A demodulator (DEMOD) 460 then processes the samples in a manner complementary to that performed by modulator 420 to provide measured pilot powers and data symbol estimates for the sector(s) being recovered. A receive (RX) data processor 462 further processes (e.g., symbol demaps, deinterleaves, and decodes) the data symbol estimates to provide decoded data, which may be provided to a data sink 464 for storage and/or a controller 470 for further processing.

[0044] The processing for the reverse link may be performed in accordance with the CDMA standard or design implemented for the reverse link. Data and signaling are processed (e.g., coded, interleaved, and modulated) by a TX data processor 484 to provide data symbols, which are multiplexed with pilot symbols and further processed by a modulator 490 to provide transmit symbols. A transmitter unit 492 further

11

processes the transmit symbols to generate a reverse link signal, which is then transmitted from antenna 452.

[0045] At base station 110x, the reverse link signals from terminals are received by antenna 424, and the received signal is processed by a receiver unit 438 to provide samples. The samples are further processed by a demodulator 440 to provide data symbol estimates, which are further processed by an RX data processor 442 to provide decoded data for each terminal being recovered. The decoded data may be provided to a data sink 444 for storage and/or controller 430 for further processing.

Controllers 430 and 470 control the operation of various processing units at the base station and terminal, respectively. Memory units 432 and 472 store data and program codes used by controllers 430 and 470, respectively. Controller 470 may implement the process shown in FIG. 2 to determine whether or not to initiate a handoff request. If another sector is received better than the current serving sector, the controller 470 may provide a message for the handoff request to TX data processor 484 for transmission to the BTS for the current serving sector. Controller 430 for each BTS may participate in the process shown in FIG. 3 to determine whether or not to initiate a handoff of the terminal. The controllers for multiple BTSs may coordinate to perform the BTS-initiated forward link handoff for the terminal.

The forward link handoff techniques described herein may be implemented by various means. For example, these techniques may be implemented in hardware, software, or a combination thereof. For a hardware implementation, the elements used to support the forward link handoff at the BTS and the terminal may be implemented within one or more application specific integrated circuits (ASICs), digital signal processors (DSPs), digital signal processing devices (DSPDs), programmable logic devices (PLDs), field programmable gate arrays (FPGAs), processors, controllers, micro-controllers, microprocessors, other electronic units designed to perform the functions described herein, or a combination thereof.

For a software implementation, the forward link handoff techniques described herein may be implemented with modules (e.g., procedures, functions, and so on) that perform the functions described herein. The software codes may be stored in a memory unit (e.g., memory units 432 and 472 in FIG. 4) and executed by a processor (e.g., controllers 430 and 470). The memory unit may be implemented within the processor

12

or external to the processor, in which case it can be communicatively coupled to the processor via various means as is known in the art.

[0049] Headings are included herein for reference and to aid in locating certain sections. These headings are not intended to limit the scope of the concepts described therein under, and these concepts may have applicability in other sections throughout the entire specification.

[0050] The previous description of the disclosed embodiments is provided to enable any person skilled in the art to make or use the present invention. Various modifications to these embodiments will be readily apparent to those skilled in the art, and the generic principles defined herein may be applied to other embodiments without departing from the spirit or scope of the invention. Thus, the present invention is not intended to be limited to the embodiments shown herein but is to be accorded the widest scope consistent with the principles and novel features disclosed herein.

WHAT IS CLAIMED IS:

13

CLAIMS

1. In a wireless communication system with multi-carrier modulation (MCM) for a forward link and CDMA for a reverse link, a method of performing handoff on the forward link for a terminal, comprising:

determining signal quality of pilots received by the terminal from a plurality of base stations in the system;

selecting a particular base station for subsequent data transmission on the forward link to the terminal based on the signal quality determined for the plurality of base stations; and

initiating a request to be handed off to the particular base station if different than a currently selected base station.

- 2. The method of claim 1, wherein the multi-carrier modulation is orthogonal frequency division multiplexing (OFDM).
 - 3. The method of claim 1, wherein the signal quality relates to pilot power.
- 4. The method of claim 1, wherein the signal quality relates to signal-to-noise ratio (SNR).
- 5. The method of claim 1, wherein the selecting is further based on at least one additional criterion.
- 6. The method of claim 5, wherein the at least one additional criterion relates to loading on the forward link for base stations in the system.
- 7. The method of claim 1, wherein the selecting is performed using hysteresis to prevent ping-ponging between two base stations.

14

8. In a wireless communication system with multi-carrier modulation (MCM) for a forward link and CDMA for a reverse link, a method of performing handoff on the forward link for a terminal, comprising:

determining quality of a signal received from the terminal by a plurality of base stations in the system;

selecting a particular base station for subsequent data transmission on the forward link to the terminal based on the signal quality determined by the plurality of base stations; and

initiating a handoff of the terminal to the particular base station.

- 9. The method of claim 8, wherein the multi-carrier modulation is orthogonal frequency division multiplexing (OFDM).
 - 10. The method of claim 8, wherein the signal quality relates to pilot power.
- 11. The method of claim 8, wherein the selecting is further based on at least one additional criterion.
- 12. The method of claim 11, wherein the at least one additional criterion relates to loading on the forward link for base stations in the system.
- 13. The method of claim 11, wherein the at least one additional criterion relates to measurements for the signal received from the terminal by the plurality of base stations.
- 14. A terminal in a wireless communication system with multi-carrier modulation (MCM) for a forward link and CDMA for a reverse link, comprising:

means for determining signal quality of pilots received by the terminal from a plurality of base stations in the system;

means for selecting a particular base station for subsequent data transmission on the forward link to the terminal based on the signal quality determined for the plurality of base stations; and

15

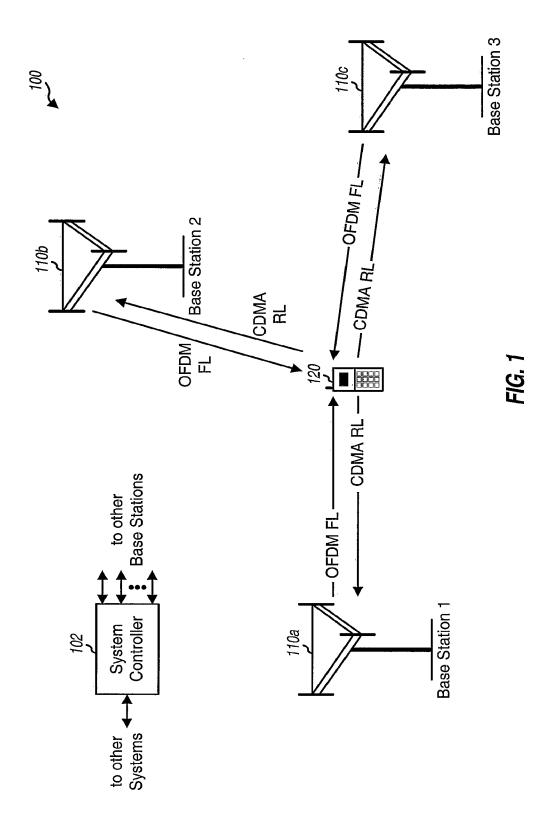
means for initiating a request to be handed off to the particular base station if different than a currently selected base station.

15. An apparatus in a wireless communication system with multi-carrier modulation (MCM) for a forward link and CDMA for a reverse link, comprising:

means for determining quality of a signal received from the terminal by a plurality of base stations in the system;

means for selecting a particular base station for subsequent data transmission on the forward link to the terminal based on the signal quality determined by the plurality of base stations; and

means for initiating a handoff of the terminal to the particular base station.



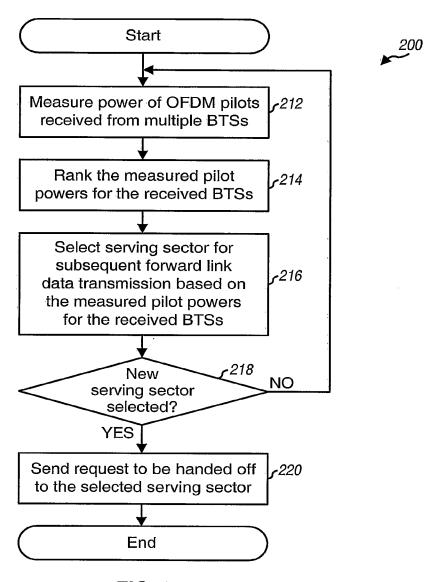


FIG. 2

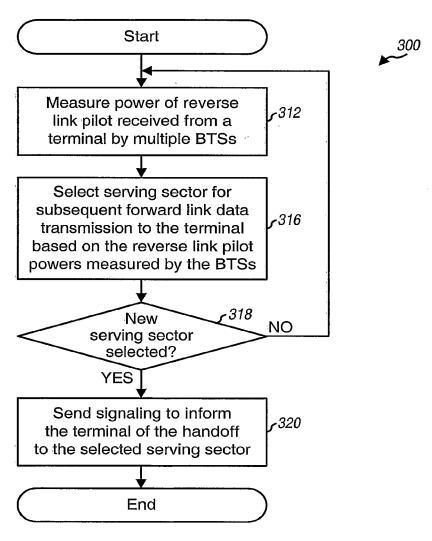


FIG. 3

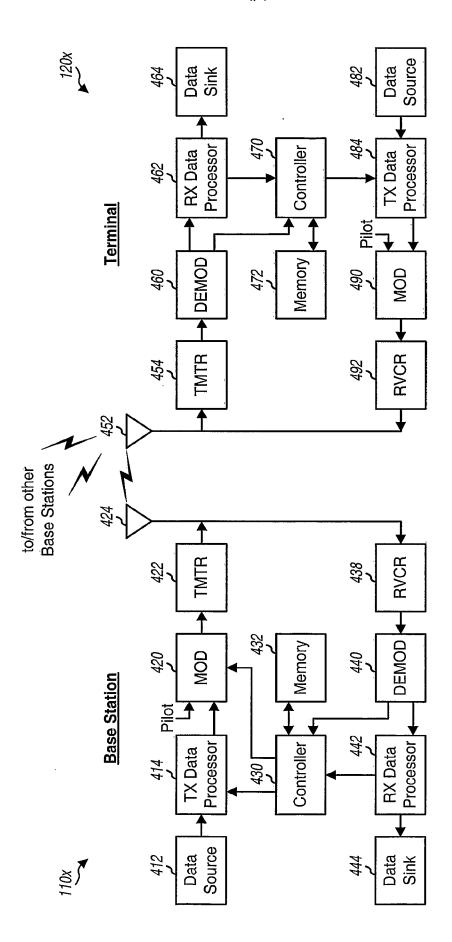


FIG. 4

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization

International Bureau





(43) International Publication Date 29 July 2004 (29.07.2004)

PCT

(10) International Publication Number $WO\ 2004/064294\ A3$

(51) International Patent Classification⁷: H04Q 7/38, H04L 27/26

(21) International Application Number:

PCT/US2004/000324

(22) International Filing Date: 7 January 2004 (07.01.2004)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

60/438,666 7 January 2003 (07.01.2003) US 10/744,373 22 December 2003 (22.12.2003) US

- (71) Applicant (for all designated States except US): QUAL-COMM, INCORPORATED [US/US]; 5775 Morehouse Drive, San Diego, CA 92121 (US).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): SMEE, John E.

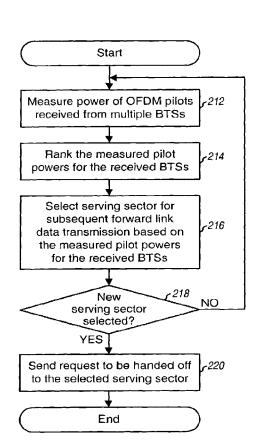
[CA/US]; 5340 Toscana Way, Apt. F-406, San Diego, CA 92122 (US). **WALTON, Jay R.** [US/US]; 7 Ledgewood Drive, Westford, MA 01886 (US). **MALLADI, Durga P.** [IN/US]; 11983 Briarleaf Way, San Diego, CA 92128 (US). **WILLENEGGER, Serge** [CH/CH]; Derriere-Ville B, CH-1425 Onnens (CH).

- (74) Agents: WADSWORTH, Philip R. et al.; 5775 Morehouse Drive, San Diego, CA 92121 (US).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

[Continued on next page]

(54) Title: METHOD FOR PROVIDING FORWARD LINK HANDOFF IN A WIRELESS COMMUNICATION SYSTEM WITH OFDM FORWARD LINK AND COMA REVERSE LINK

200



(57) Abstract: A method and apparatus for performing handoff in a wireless communication system with multi-carrier modulation (MCM) for a forward link and CDMA for a reverse link. In one embodiment, a method of performing handoff on the forward link for a terminal is provided in which signal quality of pilots received by the terminal from a plurality of base stations in the system is determined. A particular base station for subsequent data transmission on the forward link to the terminal is selected based on the signal quality determined for the plurality of base stations. A request to be handed off to the particular base station is initiated if the particular base station is different than a currently selected base station.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Declarations under Rule 4.17:

— as to the identity of the inventor (Rule 4.17(i)) for the following designations AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW, ARIPO patent (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European

- patent (AT, BE, BG, CII, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)
- as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii)) for all designations
- as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii)) for all designations

Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments
- (88) Date of publication of the international search report: 25 November 2004

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

INTERNATIONAL SEARCH REPORT

Inimational Application No
PCT/US2004/000324

_		PC1/05200	4/000324		
A. CLASSIF IPC 7	FICATION OF SUBJECT MATTER H04Q7/38 H04L27/26				
According to	International Patent Classification (IPC) or to both national classificat	ion and IPC			
B. FIELDS	- ····				
Minimum do IPC 7	cumentation searched (classification system followed by classificatio H04Q H04L	n symbols)			
	ion searched other than minimum documentation to the extent that su				
	ata base consulted during the international search (name of data bas	e anu, where practical, search terms used	,		
C. DOCUME	ENTS CONSIDERED TO BE RELEVANT		 		
Category °	Citation of document, with indication, where appropriate, of the rele	vant passages	Relevant to claim No.		
Х	US 6 038 450 A (BRINK STEPHAN TEN 14 March 2000 (2000-03-14) column 2, line 14 - line 67 column 3, line 19 - line 58 column 9, line 33 - column 12, li	1–15			
А	US 2002/159422 A1 (LI XIAODONG E 31 October 2002 (2002-10-31) paragraph '0008! - paragraph '001 paragraph '0041! - paragraph '004 paragraph '0081! - paragraph '009 figures 3,13	1,8,14, 15			
Furth	ner documents are listed in the continuation of box C.	Patent family members are listed	in annex.		
° Special ca	tegories of cited documents :				
 Special categories of cited documents: "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention 					
"X" document of particular relevance; the claimed invention filing date "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to					
"L" document which may throw doubts on priority claim(s) or involve an inventive step when the document is taken alone which is cited to establish the publication date of another distribute and the recent leaders of the claimed invention date." "Y" document of particular relevance; the claimed invention					
citation or other special reason (as specified) *O* document referring to an oral disclosure, use, exhibition or other means citation or other special reason (as specified) cannot be considered to involve an inventive step when the document is combined with one or more other such document is combined with the combined w					
P* document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family					
Date of the actual completion of the international search Date of mailing of the international search report					
4	October 2004	19/10/2004			
Name and mailing address of the ISA		Authorized officer			
	European Patent Office, P.B. 5818 Patentlaan 2 NL – 2280 HV Rijswijk Tel. (+31–70) 340–2040, Tx. 31 651 epo nl, Fax: (+31–70) 340–3016	Tsapelis, A			
l .	1 as. (70 1-10) 070-0010	' '			

INTERNATIONAL SEARCH REPORT

Information on patent family members

In___ational Application No PCT/US2004/000324

Patent document cited in search report		Publication date		Patent family member(s)	Publication date
US 6038450	A	14-03-2000	EP JP	0902551 A2 11178036 A	17-03-1999 02-07-1999
US 2002159422	A1	31-10-2002	WO	02073831 A1	19-09-2002

CORRECTED VERSION

(19) World Intellectual Property Organization

International Bureau



I NAME ANNOMEN A BANKA MENI AMAN MENIKAMAN INI MENIKAMBANKA MANJA MENIKAMBAN MENIKAMBAN MENIKAMBAN MENIKAMBAN

(43) International Publication Date 29 July 2004 (29.07.2004)

PCT

(10) International Publication Number WO 2004/064294 A3

(51) International Patent Classification⁷: H04L 27/26

H04Q 7/38,

(21) International Application Number:

PCT/US2004/000324

(22) International Filing Date: 7 January 2004 (07.01.2004)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

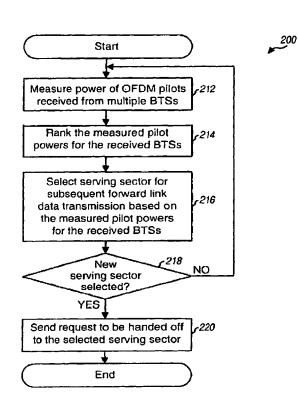
60/438,666 10/744,373 7 January 2003 (07.01.2003) US 22 December 2003 (22.12.2003) US

- (71) Applicant (for all designated States except US): QUAL-COMM, INCORPORATED [US/US]; 5775 Morehouse Drive, San Diego, CΛ 92121 (US).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): SMEE, John E. [CA/US]; 5340 Toscana Way, Apt. F-406, San Diego, CA 92122 (US). WALTON, Jay R. [US/US]; 7 Ledgewood Drive, Westford, MA 01886 (US). MALLADI, Durga P. [IN/US]; 11983 Briarleaf Way, San Diego, CA 92128

- (US). **WILLENEGGER, Serge** [CH/CH]; Derriere-Ville B, CH-1425 Onnens (CH).
- (74) Agents: WADSWORTH, Philip R. et al.; 5775 Morehouse Drive, San Diego, CA 92121 (US).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK,

[Continued on next page]

(54) Title: METHOD FOR PROVIDING FORWARD LINK HANDOFF IN A WIRELESS COMMUNICATION SYSTEM WITH OFDM FORWARD LINK AND COMA REVERSE LINK



(57) Abstract: A method and apparatus for performing handoff in a wireless communication system with multi-carrier modulation (MCM) for a forward link and CDMA for a reverse link. In one embodiment, a method of performing handoff on the forward link for a terminal is provided in which signal quality of pilots received by the terminal from a plurality of base stations in the system is determined. A particular base station for subsequent data transmission on the forward link to the terminal is selected based on the signal quality determined for the plurality of base stations. A request to be handed off to the particular base station is initiated if the particular base station is different than a currently selected base station.

TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ZW, ARIPO pate

Declarations under Rule 4.17:

ML, MR, NE, SN, TD, TG).

- as to the identity of the inventor (Rule 4.17(i)) for the following designations AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW, ARIPO patent (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)
- as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii)) for the following designations AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM,

ZW, ARIPO patent (BW, GII, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)

- as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii)) for all designations
- as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii)) for all designations

Published:

- with international search report
- (88) Date of publication of the international search report: 25 November 2004
- (48) Date of publication of this corrected version:

2 March 2006

(15) Information about Correction: see PCT Gazette No. 09/2006 of 2 March 2006, Section II

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

CORRECTED VERSION

(19) World Intellectual Property Organization

International Bureau



(43) International Publication Date 29 July 2004 (29.07.2004)

(51) International Patent Classification: **H04Q 7/38** (2006.01)

(21) International Application Number:

PCT/US2004/000324

English

(22) International Filing Date: 7 January 2004 (07.01.2004)

(25) Filing Language:

(26) Publication Language:

(30) Priority Data:

60/438,666 7 January 2003 (07.01.2003) US 10/744,373 22 December 2003 (22.12.2003) US

(71) Applicant (for all designated States except US): QUAL-COMM, INCORPORATED [US/US]; 5775 Morehouse Drive, San Diego, CA 92121 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): SMEE, John E. [CA/US]; 5340 Toscana Way, Apt. F-406, San Diego, CA 92122 (US). WALTON, Jay R. [US/US]; 7 Ledgewood (10) International Publication Number WO 2004/064294 A3

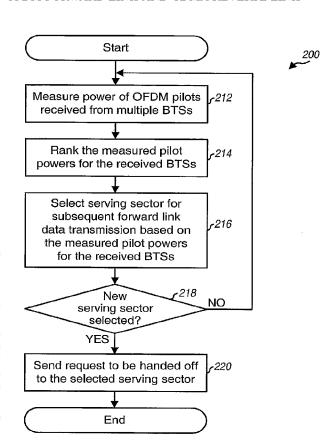
P. [IN/US]; 11983 Briarleaf Way, San Diego, CA 92128 (US). WILLENEGGER, Serge |CH/CH|; Derriere-Ville B, CH-1425 Onnens (CH).

Drive, Westford, MA 01886 (US). MALLADI, Durga

- (74) Agents: WADSWORTH, Philip R. et al.; 5775 Morehouse Drive, San Diego, CA 92121 (US).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH,

[Continued on next page]

(54) Title: METHOD FOR PROVIDING FORWARD LINK HANDOFF IN A WIRELESS COMMUNICATION SYSTEM WITH OFDM FORWARD LINK AND CDMA REVERSE LINK



(57) Abstract: A method and apparatus for performing handoff in a wireless communication system with multi-carrier modulation (MCM) for a forward link and CDMA for a reverse link. In one embodiment, a method of performing handoff on the forward link for a terminal is provided in which signal quality of pilots received by the terminal from a plurality of base stations in the system is determined. A particular base station for subsequent data transmission on the forward link to the terminal is selected based on the signal quality determined for the plurality of base stations. A request to be handed off to the particular base station is initiated if the particular base station is different than a currently selected base station.

WO 2004/064294 A3 |||||||||||||

GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Declarations under Rule 4.17:

- as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii))
- as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii))

Published:

with international search report

- (88) Date of publication of the international search report: 25 November 2004
- (48) Date of publication of this corrected version: 12 October 2006
- (15) Information about Corrections: see PCT Gazette No. 41/2006 of 12 October 2006 Previous Correction:

see PCT Gazette No. 09/2006 of 2 March 2006

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.